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| <b>INFORMATION DISCLOSURE<br/>STATEMENT BY APPLICANT</b><br>( Not for submission under 37 CFR 1.99) | Application Number   |                    | 10799854   |  |
|   | Filing Date          |                    | 2004-03-12 |  |
|   | First Named Inventor | Alexander, P. Dorr |            |  |
|   | Art Unit             | 1648               |            |  |
|   | Examiner Name        | Jeffrey S. Parkin  |            |  |
| Attorney Docket Number  |                      | UCAL-296           |            |  |

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|    |  |                          |
|----|--|--------------------------|
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| 3  | Tahtinen et al. DNA Vaccination in Mice Using HIV-1 nef, rev and tat genes in self-replication pBN-vector. Vaccine 19 (2001) 2039-2047.  | <input type="checkbox"/> |
| 4  | Bennasser and Bahraoui. HIV-1 Tat Protein Induces Interleukin-10 in Human Peripheral Blood Monocytes: Involvement of Protein Kinase C- $\beta$ II and - FASEB J. 2002, 16, 546-554.                                  | <input type="checkbox"/> |
| 5  | Agwale et al. A Tat subunit vaccine confers protective immunity against the immune-modulating activity of the human immunodeficiency virus type-1 Tat protein in mice. PNAS. 2002, vol. 99, no. 15, pp. 10037-10041. | <input type="checkbox"/> |
| 6  | Brake et al. Characterization of murine monoclonal antibodies to the tat protein from human immunodeficiency virus type 1. Journal of Virology. 1990, vol. 64, no. 2, pp. 962-965.                                   | <input type="checkbox"/> |
| 7  | Cohen et al. Pronounced acute immunosuppression in vivo mediated by HIV Tat challenge. PNAS. 1999, vol. 96, pp. 10842-10847.   | <input type="checkbox"/> |
| 8  | Gallo, R. Tat as one key to HIV-induced immune pathogenesis and Tat toxoid as an important component of a vaccine. PNAS. 1999, vol. 96, pp. 8324-8326.   | <input type="checkbox"/> |
| 9  | Gutheil et al. Human immunodeficiency virus 1 Tat binds to dipeptidyl aminopeptidase IV (CD26). A possible mechanism for Tat's immunosuppressive activity. PNAS. 1994, vol. 91, pp. 6594-6598                        | <input type="checkbox"/> |
| 10 | Zocchi et al. HIV-1 Tat inhibits human natural killer cell function by blocking L-type calcium channels. The Journal of Immunology. 1998, vol. 161, pp. 2938-2943.   | <input type="checkbox"/> |

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